PTO/SB/05 (2-98)
Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	10209-00007
First Named Inventor or Application Identifier	Eugene August Fusz
Title	METHOD AND APPARATUS FOR ANONYMOUS DATA PROFILING
Express Mail Label No.	EL319727354US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. [X] Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. [X] Specification [Total Pages] 14
(Preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. [X] Drawing(s) (35 USC 113) [Total Sheets] 4

4. Oath or Declaration [Total Pages] 2

a. [X] Newly executed (original or copy)

b. [ ] Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]

i. [ ] DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. [ ] Incorporation by Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. [ ] Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. [ ] Computer Readable Copy
b. [ ] Paper Copy (identical to computer copy)
c. [ ] Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. [ ] Assignment Papers (cover sheet & document(s))

9. [ ] 37 CFR 3.73(b) Statement [X] Power of Attorney
(when there is an assignee)

10. [ ] English Translation Document (if applicable)

11. [ ] Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations

12. [ ] Preliminary Amendment

13. [X] Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. [X] Small Entity Statement(s) (PTO/SB/09-12) [ ] Statement filed in prior application, Status still proper and desired

15. [ ] Certified Copy of Priority Document(s)
(If foreign priority is claimed)

16. [X] Other: EXPRESS MAIL CERTIFICATE

*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. §1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
[ ] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No:
Prior application information: Examiner: Group/Art Unit:

18. CORRESPONDENCE ADDRESS

[ ] Customer Number or Bar Code Label
(Insert Customer No. or Attach bar code label here)

or [ ] Correspondence address below

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Name (Print/type)	Patrick W. Rasche	Registration No. (Attorney/Agent)	37,916
Signature	Pat W. Rasche	Date	10/26/99

Express Mail Label No.: EL319727354US

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997.
*Small entity payments **must** be supported by a small entity statement, otherwise*
large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

Complete If Known

Application Number	
Filing Date	
First Named Inventor	Eugene August Fusz
Group Art Unit	
Examiner Name	

TOTAL AMOUNT OF PAYMENT	($) 491.00	Attorney Docket Number	10209-00007

METHOD OF PAYMENT (check one)

1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 01-2384

Deposit Account Name:

[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17   [ ] Charge the Issue Fee Set in 37 CFR 1.16 at the Mailing of the Notice of Allowance

2. [ ] Payment Enclosed:
   [ ] Check   [ ] Money Order   [ ] Other

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
101	760	201	380	Utility filing fee	380
106	330	206	165	Design filing fee	
107	540	207	270	Plant filing fee	
108	790	208	395	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) ($) 380

2. CLAIMS

		Extra		Fee from below		Fee Paid
Total Claims	28	- 20 =	8	x	9 =	72
Independent Claims	4	- 3 =	1	x	39 =	39
Multiple Dependent Claims				x	=	

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description
103	18	201	11	Claims in excess of 20
102	78	202	41	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	82	209	41	Reissue independent claims over original patent
110	22	210	11	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($) 111.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	950	217	475	Extension for reply within third month	
118	1,510	218	755	Extension for reply within fourth month	
128	2,060	228	1,030	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,320	241	660	Petition to revive - unintentional	
142	1,320	242	660	Utility issue fee (or reissue)	
143	450	243	225	Design issue fee	
144	670	244	335	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of information	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid   SUBTOTAL (3) ($) 0

SUBMITTED BY

		Complete (if applicable)	
Typed or Printed Name	Patrick W. Rasche	Reg. Number	37,916
Signature	*Patrick W. Rasche*	Date 10/26/99	Deposit Account User ID	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING BY EXPRESS MAIL TO
THE COMMISSIONER OF PATENTS AND TRADEMARKS

Express Mail mailing label number: **EL319727354US**

Date of Mailing: <u>October 26, 1999</u>

I certify that the attached complete utility patent application of **Eugene August Fusz for METHOD AND APPARATUS FOR ANONYMOUS DATA PROFILING,** including:

- Patent Application Transmittal (1 page)

- Fee Transmittal (in duplicate) (1 page)

- Eight (8) pages of specification; five (5) pages of claims; one (1) page of abstract

- Four (4) sheet of drawings

- Declaration and Power of Attorney (2 pages)

- Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9 (f) and 41 (a) and 1.27 (b)) - Independent Inventor (2 pages)

- Certificate of Mailing Via Express Mail (1 page)

- Return post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.
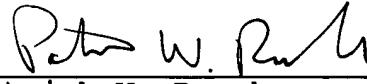
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